

Important Information

While AUSIEX and CommSec Adviser Services (CAS) have separated as of 1 May 2021, we will continue to supply certain services to each other during a period of transition while each business develops its own independent solutions.

This includes AUSIEX temporarily providing a secure website for CAS advisers so that you can view and manage all your clients CAS products and accounts.

During this transition period, to ensure that your request is processed correctly please complete only **one** of the following forms relating to the products you manage:

Products managed by Adviser	Form to complete	Where to send the form
Only ACA and/or CAS investment lending products	Please proceed to the following page to complete the CAS branded form	Return to CAS by email or post
ACA and/or CAS investment lending products <u>AND</u> trading with AUSIEX	For Adviser maintenance requests where an adviser has a CommBank ACA or investment lending product <u>AND</u> an AUSIEX trading product, please complete the AUSIEX branded forms available on the ausiex.com.au website.	Return to AUSIEX by eSubmit on Ausiex.com.au or by post. By completing the AUSIEX adviser form, you authorise AUSIEX to share your information with CAS to update CAS systems for the purpose of giving effect to the instructions contained in the form.

If you don't currently manage an active ACA and/or CAS Investment Lending Product and you only have access to manage active AUSIEX Trading accounts, please go to www.ausiex.com.au to obtain their adviser maintenance form.

We're here to help

If you have any questions, please contact us on **13 15 20** between 8.30am and 6pm (Sydney time), Monday to Friday.

We thank you for your support.

Important Information

The CBA Group and AUSIEX will continue to work closely with each other leading up to and after the sale to support each other's systems during a period of transition and separation, including access to AUSIEX and CBA Group information, to perform their respective roles relating to your products and to continue to offer you a seamless customer experience.

Before you appoint a new servicing adviser, the adviser must be registered with AUSIEX and, where applicable CommSec Adviser Services.

To register, your adviser should contact us on the details provided at the end of this form.

1. Service Selection and Account Details

Which service/s are you nominating a new adviser for or removing an existing adviser from?

All applicable services relating to username Username

i This is your client login ID for the AUSIEX website. If unknown, call us on **13 15 20** to obtain.

Accelerator Cash Account (ACA)

Account name	BSB	Account number
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

i To provide your new adviser with full or limited authority to operate your Accelerator Cash Account, complete the 'Adviser Authority to Operate Form' available from our website.

Investment Lending

Account name	Loan number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

CALIA+

Account name	Loan number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

2. New Adviser Details

Adviser number, adviser code or username	Dealer Group name
<input type="text"/>	<input type="text"/>

Adviser name	Email
<input type="text"/>	<input type="text"/>

Work number	Mobile number	Date of appointment – DD / MM / YYYY
<input type="text"/>	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>

Please note:

The following account access will be provided to your new adviser:

- **Accelerator Cash Account** – View only.
- **Investment Lending** – Full authority to act.
- **CALIA+** – Full authority to act.

No changes will be made to any existing periodic payment established on Accelerator Cash Accounts. Please call us to confirm periodic payments currently in place. If changes are required, complete the 'Periodic Payment Authority Form' available from our website.

No changes will be made to any nominated accounts linked to your Accelerator Cash Accounts. Please call us to check which/how many nominated accounts are currently in place. If changes are required, complete the 'Nominated Account Maintenance Form' available from our website.

3. Client Declaration and Signature/s

All account holders must sign this declaration.

1. I/We declare that all details provided in this form are true and correct. I/We undertake to inform you of any changes to the information supplied, as and when they occur.
2. I/We consent to my/our details being changed as indicated in this form.
3. I/We authorise you to provide access to my/our account/s to my new adviser.
4. I/We acknowledge that the new adviser will have all authority on my/our account/s as described in the relevant disclosure document.
5. I/we authorise CommSec Adviser Services to share my/our personal information with AUSIEX for the purpose of giving effect to the instructions contained in this form.
6. (For Investment Loan or CALIA+ facility): I/We authorise you to notify the fund managers of any managed investments I/we have lodged as security, of this adviser appointment.
7. (For ACA only): I/We confirm the existing periodic payment schedule and nominated accounts linked to my/our ACA are to remain as is, and understand I/we need to complete the relevant form for any changes.

Account Holder 1/Director 1/Secretary 1/Trustee 1

Full name Date – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

Account Holder 2/Director 2/Secretary 2/Trustee 2

Full name Date – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

 If there are more than 2 authorised signatories, please photocopy this page and attach to the form.

CommSec Adviser Services (CAS) is brand of Commonwealth Bank of Australia (CBA or the Bank) ABN 48 123 123 124 and Australian credit licence 234945. The Accelerator Cash Account is a deposit product issued by CBA and administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited(CommSec) ABN 60 067 254 399 AFSL 238814, a participant of the ASX Group and Chi-X Australia, under the CAS brand. Lending products are provided by CBA. Investment Loans are administered by CommSec.

How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents via provided details.

If you are required to send Original Certified Copies of documents please send via post to:

 **CommSec Adviser Services, Locked Bag 22, Australia Square NSW 1215**

 13 15 20  investmentlending-adviserservices@cba.com.au  CommSecAdviserServices.com.au