

## CHESS SPONSORSHIP REQUEST AND BROKER TO BROKER TRANSFER FOR MARGIN LENDING

CommSec Adviser Services  
Locked Bag 34,  
Australia Square NSW 1215

Phone: **13 15 20**  
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### IMPORTANT INFORMATION

Please complete this form to transfer all or part of your existing holdings from another broker (with whom you are sponsored) to Commonwealth Securities Limited (CommSec), or if you wish to apply for CHESS sponsorship with CommSec and request a new Holder Identification Number (HIN).

To lodge a CHESS Shareholdings Transfer, you will need to attached current copies of your CHESS sponsored holdings statement(s) including all of the holdings you list below OR provide a statement from your Broker showing all holdings to be transferred. The name and address on the shareholder statements must exactly match the details of your Investment Loan.

For joint shareholdings both holders must sign. For Company / Trust shareholdings Directors / Trustees must sign.

Due to the update / change in your registration details, you may need to update the registry with your standing instructions for DRP or other dividend payment. Please check your existing shareholder statement(s) to confirm if your securities are Issuer or CHESS sponsored.

**We accept this form returned to us by post or email.** All pages must be present for the forms to be processed. The email address is for administrative use only. All other enquiries should be directed through the appropriate channels.

Post: CommSec Margin Loans, Locked Bag 34, Australia Square NSW 1214  
Email: mlconversions@cba.com.au

### LOAN DETAILS

LOAN NUMBER	BORROWER NAME(S)
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>

#### OPTION:

1.  Please transfer ALL of my/our CHESS shareholdings and Holder Identification Number (HIN)

**OR**

2.  Please transfer the CHESS shareholdings listed below\*

#### EXISTING SPONSORING BROKER

<input style="width: 100%;" type="text"/>
SPONSOR'S PID
<input style="width: 100%;" type="text"/>
ACCOUNT NUMBER WITH SPONSOR
<input style="width: 100%;" type="text"/>
HIN
<input style="width: 100%;" type="text"/>

#### NEW SPONSORING BROKER

Commonwealth Securities Limited (CommSec)
PID
<input style="width: 100%;" type="text" value="1402"/>
ACCOUNT NUMBER (To be completed by the Bank)
<input style="width: 100%;" type="text"/>
HIN (To be completed by the Bank)
<input style="width: 100%;" type="text"/>

ASX CODE	SECURITY NAME (AS PER HOLDING STATEMENT)	UNITS

**OR**

3.  I request CommSec to create a Holder Identification Number (HIN) with CHESS in my/our name/s.

## CHESSE SPONSORSHIP REQUEST AND BROKER TO BROKER TRANSFER FOR MARGIN LENDING

### AGREEMENT

CHESSE Sponsor me/us into the CHESSE Settlement Facility in accordance with Clause 5 (CHESSE Sponsorship) of the Margin Loan Terms and Conditions.  
I/We authorise CommSec to transfer the existing HIN and all holdings/ the above listed holdings into my/our CommSec Investment Loan account.

#### SIGNATURE OF SHAREHOLDER(S)\*:

PRINT FULL NAME

PRINT FULL NAME

SIGNATURE

SIGNATURE

DATE

DATE

\*For joint shareholdings both holders must sign. For Company / Trust shareholdings Directors / Trustees must sign.

#### HOW TO LODGE BROKER TO BROKER, CHESSE SPONSORED HOLDINGS

- I/We have attached current copies of my/our CHESSE sponsored holding statement(s) including all of the holdings listed below OR a statement from my broker showing all holdings to be transferred.
- The name and address on the shareholder statements exactly matches the application details I/we have provided (OR – contact your existing broker to amend details)