

### Margin Loan Details – Compulsory

<input type="text"/>	<input type="text"/>
Investment Loan Number	Borrower Name(s)

### Tax Residency Information – Compulsory

Read the [explanatory notes](#) at the end of this document. For Australia add Reason Code C.

#### Individual Tax information

##### Individual 1

<input type="checkbox"/> Borrower	<input type="checkbox"/> Director	<input type="checkbox"/> Trustee	<input type="checkbox"/> 3rd Party Mortgager	<input type="checkbox"/> Beneficial Owner
<input type="text"/>	<input type="text"/>		<input type="text"/>	
Full Name	Address		Date of Birth - DD/MM/YYYY	
<input type="text"/>	<input type="text"/>	<b>OR</b> Reason Code For No TIN		
Country/ies of Tax Residency	Tax Identification Number (TIN)			
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	A. My country of tax residency does not issue TINs.	
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	B. I have not been issued with a TIN by my country of tax residency.	
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	C. My country of tax residency does not require disclosure of a TIN.	

##### Individual 2

<input type="checkbox"/> Borrower	<input type="checkbox"/> Director	<input type="checkbox"/> Trustee	<input type="checkbox"/> 3rd Party Mortgager	<input type="checkbox"/> Beneficial Owner
<input type="text"/>	<input type="text"/>		<input type="text"/>	
Full Name	Address		Date of Birth - DD/MM/YYYY	
<input type="text"/>	<input type="text"/>	<b>OR</b> Reason Code For No TIN		
Country/ies of Tax Residency	Tax Identification Number (TIN)			
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	A. My country of tax residency does not issue TINs.	
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	B. I have not been issued with a TIN by my country of tax residency.	
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	C. My country of tax residency does not require disclosure of a TIN.	

Note - Please provide tax residency details for all loan parties. If there are more than two individuals, please print and complete multiple copies of this page.

#### Company/Trust Tax information

Read the [explanatory notes](#) at the end of this document. For Australia add Reason Code C.

Notes: You are not required to complete the below if the organisation is a Publicly Listed Company. If the Company is the trustee of the trust, only complete the below information for the trust and not the company.

<input type="text"/>				
Company/Trust Name				
<input type="text"/>	<input type="text"/>	<b>OR</b> Reason Code For No TIN		
Country/ies of Tax Residency	Tax Identification Number (TIN)			
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	A. My country of tax residency does not issue TINs.	
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	B. I have not been issued with a TIN by my country of tax residency.	
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	C. My country of tax residency does not require disclosure of a TIN.	

**Investment Amounts – Compulsory**

\$	\$	\$
Client Contribution	Investment Loan Advance	Total Investment

**Important Note:** For the Investment to proceed please ensure your loan advance will not breach your current credit limit. Should you need to increase your credit limit please complete a credit limit increase form downloadable from the 'Forms' section of [www.CommSecAdviserServices.com.au](http://www.CommSecAdviserServices.com.au).

**Client Contribution Details – Compulsory**

If you are making a contribution, please indicate from the options used for interest payments.

Debit my linked bank account used for interest payments

Debit a new Bank Account – I have attached a Direct Debit Authority form with this request

Debit my Accelerator Cash Account

**Investment Selection – Compulsory**

**Platform Investments** – please indicate the underlying investment allocations you wish to invest into.

**Fund Manager Requirements** – Fund Managers require an original application form for new investments, and may require a minimum investment amount. Please confirm this and obtain an application form directly from the relevant fund manager prior to submitting your request.

**Regular Gearing changes** – If you would like any changes to existing RGP please ensure you **complete the RGP Section 6**.

Managed Fund Name	APIR Code	Investor ID	Investor Name	Investment Amount	
				%	or \$
<b>Total Investment</b>					

- Important Note:**
- Total allocation amount must be equal to investment amount under section 3
  - Total allocation percentage for underlying investment must add up to 100%

### Regular Gearing Plan Amendments – If Applicable

If you wish to make a Regular Gearing Amendment, please select one of the following options.

Suspend my Regular Gearing Plan – Please restart in MM/YYYY

Reallocate my Regular Gearing Plan – Please nominate change in amounts and complete the table below

\$ <input style="width: 90%;" type="text"/>	+	\$ <input style="width: 90%;" type="text"/>	=	\$ <input style="width: 90%;" type="text"/>
Monthly Contribution Amount		Monthly Loan Advance Amount		Total Monthly Regular Gearing Plan

### Regular Gearing Allocations

Managed Fund Name	APIR Code	Investor ID	Investor Name	Amount Only

### Signed Authorities – Compulsory

We authorise CommSec Adviser Services Investment Lending to affect all instructions indicated on this request form.

- I/We confirm that the above Tax Information is true and correct and that I/we will promptly advise the Bank if it changes.
- Certify that I/we am/are authorised by, and have the consent of the organisation and any Beneficial Owners to provide this Tax Information.
- I/We am/are authorised by each Beneficial Owner to provide the information on their behalf and have confirmed to me/us that the Tax Information provided about them is true and correct. I/we confirm I/we have made them aware that this Tax Information and information relating to the account may be provided to the tax authorities.

#### Borrower Name 1 / Director 1

<input style="width: 98%;" type="text"/>	<input style="width: 95%;" type="text"/>
Full Name	Date

Signature – must be signed pen on paper, electronic signatures not accepted

#### Borrower Name 2 / Director 2

<input style="width: 98%;" type="text"/>	<input style="width: 95%;" type="text"/>
Full Name	Date

Signature – must be signed pen on paper, electronic signatures not accepted

If this is a joint/company/trustee account – please ensure all parties have signed the request.



## Explanatory Notes

### Tax Identification Number (TIN)

If you are a tax resident of another country, you will be asked for your Tax Identification Number (TIN) issued to you in that country, if you have one.

TIN is an international term which may have a different name in some countries.

A compilation of links to information about TINs for many countries can be found here:

<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>

Examples are Tax File Number (TFN) in Australia, Social Insurance Number (SIN) in Canada, Unique Taxpayer Reference (UTR) or National Insurance Number (NINO) in United Kingdom, Permanent Account Number (PAN) in India, IRD Number in New Zealand, and Tax Reference Number (TRN) in Malaysia.

List all your countries of tax residency and your TIN for that country or if no TIN is available, please select a reason code. If you have listed Australia as a country of tax residency, please insert reason code C in the TIN column.

If you are a US citizen or resident, you must include USA as a country of tax residency.

CommSec Adviser Services (CAS) is a brand of Commonwealth Bank of Australia (the Bank) ABN 48 123 123 124 AFSL and ACL 234945. Lending products under the CAS brand are provided by the Bank and administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited (CommSec) ABN 60 067 254 399 AFSL 238814. CommSec is a market participant of the ASX and Chi-X Australia, clearing participant of ASX Clear Pty Limited and settlement participant of ASX Settlement Pty Limited.

## How to submit your documents

Please provide your completed and signed form with relevant supporting documents via provided details.

Email: [mlopsadditionalinvestments@cba.com.au](mailto:mlopsadditionalinvestments@cba.com.au)

Mail: Locked Bag, 34 Australia Square NSW 1214

### Contact CommSec Adviser Services

Phone: 13 15 20

Web: [commsecadviserservices.com.au](http://commsecadviserservices.com.au)

Email: [investmentlending@cba.com.au](mailto:investmentlending@cba.com.au)